

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

YAO- [REDACTED] US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/787,480

INTERNATIONAL APPLICATION NO.
PCT/JP99/05061INTERNATIONAL FILING DATE
16SEP1999 (16.09.99)PRIORITY DATE CLAIMED
16SEP1998 (16.09.98) X 3

TITLE OF INVENTION

HEAD SUPPORT MECHANISM, INFORMATION RECORDING/REPRODUCING APPARATUS, AND METHOD
OF MANUFACTURING HEAD SUPPORT MECHANISM

APPLICANT(S) FOR DO/EO/US

Kazuo YOKOYAMA; Shinichi YAMAMOTO; Yousuke IRIE; Hideki KUWAJIMA; Kenichi SAKAMOTO;
Kaoru MATSUOKA; Isaku KANNO; Satoru FUJII

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

1. Copy of Notification of Missing Requirements (1 page)

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EXPRESS MAIL

CERTIFICATE OF MAILING - SEPARATE PAPER

In the Application of: Kazuo Yokoyama et al.
Serial Number: 09/787,480
Filed: June 11, 2001

I hereby certify that the **PCT Transmittal Letter to the DO/EO/US and its attachments** are being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated below with sufficient postage and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231, Box PCT on:

Date: June 11, 2001


Kathleen Libby

Express Mail Label Number: EK757983455US

PTO Form 1390 (in duplicate) with copy of PCT/DO/EO 905
Declaration/Power of Attorney (3 pages)
PTO-1595 with Assignment Document (3 pages)
Information Disclosure Statement (2 pages)
PTO-1449 (w/2 references attached)
(2) Checks